



PATENT Attorney Docket No. 07781.0086 SAP Reference No. 2002P00135US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Martin FISCHER et al.) Group Art Unit: 2168
Application No.: 10/656,208) Examiner: Morrison, Jay A
Filed: September 8, 2003) Confirmation No.: 7499
For: METHODS AND SYSTEMS FOR CONTROLLING ACCESS TO A DATA)))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents on the attached listing. To the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action after the filing of a Request for Continued Examination in the above-referenced application.

Copies of the listed U.S. patent publications are not enclosed.

Applicants further bring to the attention of the Examiner the following U.S. patent and co-pending patent applications:

1. Patent No. 7,222,142, issued May 22, 2007, entitled "Methods and Systems for Moving Data Objects Utilizing Data Identifiers and Lock Objects."

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- 2. Application No. 10/526,747, filed November 14, 2005, in the names of Martin Fischer and Thorsten Pferdekamper, entitled "Methods and Systems for Moving Data Objects."
- 3. Application No. 10/526,748, filed November 8, 2005, in the names of Martin Fischer and Thorsten Pferdekamper, entitled "Methods and Systems for Data Moving Using Locks."
- 4. Application No. 10/526,504, filed October 24, 2005, in the names of Thorsten Pferdekamper and Martin Fischer, entitled "Methods and Systems for Archiving Data."
- 5. Application No. 10/526,749, filed November 14, 2005, in the names of Martin Fischer and Thorsten Pferdekamper, entitled "Methods and Systems for Moving Data Using Locks."
- 6. Application No. 10/526,750, filed November 14, 2005, in the names of Thorsten Pferdekamper and Martin Fischer, entitled "Method and Systems for Controlling Access to a Data Object by Means of Locks."
- 7. Application No. 10/526,751, filed November 14, 2005, in the names of Thorsten Pferdekamper and Martin Fischer, entitled "Electronic Data Structure for Controlling Access to Data objects Using Locks."

Copies of the co-pending patent applications are stored in the USPTO's image file wrapper (IFW) system. Therefore, copies are not enclosed.

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Applicants respectfully request that the Examiner consider the listed documents

and indicate that they were considered by making appropriate notations on the attached

form.

This submission does not represent that a search has been made or that no

better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claim in the application and Applicants determine

that the cited documents do not constitute "prior art" under United States law,

Applicants reserve the right to present to the Office the relevant facts and law regarding

the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the

patentability of the disclosed invention over the listed documents, should one or more of

the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please

charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: June 19, 2007

Reg. No. 36,532

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IDS Form PTO/SB/08: Substitute for form 144PA/FTO NIN F-9 2005		Complete if Known			
	~ 117 A		Application Number	10/656,208	
INFORMAT	ION DISCLÉS	URE À	Filing Date	September 8, 2003	
INFORMATION DISCLOSURE STATEMENT BY APPLICANTANTAL		First Named Inventor	Martin Fischer		
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/ Sheet A 1	of	1	Attorney Docket Number	07781.0086	
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nitials	Cite	Document Number	Issue or Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
		Number-Kind Code ² (if known) MM-DD-YY	MM-DD-YYYY		Relevant Passages or Relevan Figures Appear
		6,226,641	05/01/2001	Hickson et al.	
		6,651,123	11/18/2003	Hutchinson et al.	
		6,775,750	08/10/2004	Krueger	
		6,772,177	08/03/2004	Hsiao et al.	
		5,548,750	08/20/1996	Larsson et al.	
		7,222,142	05/22/2007	Fischer et al.	

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
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NONPATENT LITERATURE DOCUMENTS					
Examiner Cite Initials No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		Martin FISCHER et al., U.S. Application No. 10/526,747, filed November 14, 2005, entitled "Methods and Systems for Moving Data Objects."			
		Thorsten PFERDEKAEMPER et al., U.S. Application No. 10/526,748, filed November 8, 2005, entitled "Methods and Systems for Data Moving Using Locks."			
		Thorsten PFERDEKAEMPER et al., U.S. Application No. 10/526,504, filed October 24, 2005, entitled "Methods and Systems for Archiving Data."			
		Martin FISCHER et al., U.S. Application No. 10/526,749, filed November 14, 2005, entitled "Methods and Systems for Moving Data Using Locks."			
		Thorsten PFERDEKAEMPER et al., U.S. Application No. 10/526,750, filed November 14, 2005, entitled "Methods and Systems for Controlling Access to a Data Object by Means of Locks."			
		Thorsten PFERDEKAEMPER et al., U.S. Application No. 10/526,751, filed November 14, 2005, entitled "Electronic Data Structure for Controlling Access to Data Objects Using Locks."			

Examiner	Date	
Signature	Considered	· · · · · · · · · · · · · · · · · · ·